



**COMMONWEALTH OF PENNSYLVANIA
OFFICE OF ATTORNEY GENERAL**

Bureau of Consumer Protection
15th Floor Strawberry Square
Harrisburg, PA 17120
Phone: (800) 441-2555
<http://www.attorneygeneral.gov>

**Uniform Planned Community Act
Certification of Compliance with Financial Security**

1. This Certification of Compliance is made on behalf of the following business entity:

Name of Business Entity			
Mailing Address			
City	State	Zip Code	County
Telephone Number		Fax Number	
Current Registration Number (if applicable)			

2. The business entity identified in Paragraph 1 has satisfied the appropriate financial security requirements of 68 Pa.C.S. § 5408 (check one):

- A surety bond in the amount of \$_____ has been obtained.
- An irrevocable letter of credit in the amount of \$_____ has been filed with the Bureau of Consumer Protection.

NOTE: The Surety Bond/Letter of Credit is on a form approved and provided by the Bureau of Consumer Protection, or its substantial equivalent.

Name of Financial Institution		
Address		
City	State	Zip Code
Name of Contact Person at Financial Institution		Telephone Number

Letter of Credit Number/ Surety Bond Number	Issue Date
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NOTE: The ORIGINAL Bond or Letter of Credit MUST be submitted with this Certificate. The financial institution MUST also provide the Office of Attorney General with yearly notice of renewal.

3. Certifications

Please check the boxes to indicate that you have read and understand the following requirements:

- I certify that the financial security identified in Paragraph 2 is currently in effect and, unless terminated or canceled upon ninety (90) days written notice, will remain in effect until all deposits received by business entity listed in Paragraph 1 have been deposited in escrow or properly credited/refunded to a purchaser.

NOTE: If financial security is canceled or terminated, it must be replaced with financial security acceptable to the Bureau of Consumer Protection. Failure to maintain a bond or letter of credit means all deposits must be deposited in escrow (prior to termination of the financial security) or properly credited/refunded to a purchaser pursuant to 68 Pa.C.S. § 5408(a). Failure to replace a letter of credit may result in the letter of credit being called in its full amount.

- I understand that I am under a continuing obligation to notify the Bureau of Consumer Protection, in writing, of any change to the information provided in this Certification of Compliance.
- I certify that the business entity identified in Paragraph 1 has no direct or indirect ownership interest in the surety or financial institution listed in Paragraph 2.
- I certify that the amount of financial security obtained by the business entity listed in Paragraph 1 is sufficient to benefit every person protected by the provisions of 68 Pa.C.S. § 5408.
- I understand that if the Attorney General adjusts the amount of the required bond pursuant to 68 Pa.C.S. § 5408(e), the business entity identified in Paragraph 1 will amend its financial security and file it with this office upon the effective date of the adjustment.

I hereby certify that the information contained in the Certification of Compliance is true and correct. I further certify that I have actual authority to make this certification on behalf of the business entity identified in Paragraph 1. I also understand that any false statements made herein are subject to the penalties for unsworn falsification to authorities pursuant to 18 Pa. C.S. Section 4904.

Signature of Authorized Party: _____ Date: _____

Print Name: _____ Title: _____